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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/644,264	08/20/2003	Vadim Bluvshcheyn	MSFT125549	7483
38991 7590 07/17/2008 CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC 1420 FIFTH AVENUE SUITE 2800 SEATTLE, WA 98101-2347				
EXAMINER				
RAYYAN, SUSAN F				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/644,264

Applicant(s)

BLUVSHTEYN ET AL.

Examiner

SUSAN FOSTER RAYYAN

Art Unit

2167

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 May 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-10 and 21-30 is/are pending in the application.
- 4a) Of the above claim(s) 11-20 and 31-39 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-10 and 21-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
- Paper No(s)/Mail Date 5/28/2008
- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. Claims 11-20, 31-39 have been canceled.
2. Claims 1-10, 21-30 are pending.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 5-9, 21, 25-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 2004/0103190 issued to Kunihiko Mochizuki ("Mochizuki") and US 2004/0064568 issued to Akhil K. Arora ("Arora") and US Patent 7,127,641 issued to Doug Anderson ("Anderson").

As per claim 1 Mochizuki teaches:

extracting from the computer system information including, but not limited to, information about the computer operating system, hardware, and processor and storing the system information in a log file (at [0042], extract information about computer hardware and software of user's PC and stores the information in a configuration database (log file), [0023], extracts formal name of an operating system, service pack and build number.);

extracting from the computer executables information including, but not limited to, information about executables included in a defined set of folders stored on the computer and executables associated with services provided by the computer and storing the executables information in the log file, the executables information including attributes determined by the executables ,... the attributes including at least one attribute other than a version number (at [0038]-[0042], extract information about the configuration of the software and hardware, a formal name of the operating system, service pack, and build number are extracted as information about the software, version number of the Internet Explorer, additional information extracted applications (executable) installed and extracted from software from listed items of additions and deletions. Information on computer executable s would be extracted from a s set of folders, and PSAPI, DLL);

extracting from the computer information regarding the application programs installed on the computer including linked executables and storing the application program information in the log file..., the application program information including attributes determined by the application programs including the linked executables ([0040]-[0041], information about applications (executables) installed and resident applications is extracted. [0036], extracted information about the configuration of the software and/or hardware is stored in the configuration database (log file)).

Mochizuki does not explicitly teach deriving a signature for each of the executables the signature being based on more than one of the plurality of attributes

associated with the related executable and storing the resultant signatures in the log file. Arora does teach this limitation at [0077], as single file attribute such as file name or identification is transformed to a hash function and [0073], as hash one or more attributes to create a key to efficiently access data (a signature is a sequence of data for identification). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Mochizuki with deriving a signature for each of the executables based on a subset of the attributes associated with the executable and storing the resultant signatures in the log file to efficiently access data.

Mochizuki and Arora do not explicitly teach a log file in a standardized language. Anderson does teach this limitation (column 7, lines 1-4, as capturing results in an XMLlog file) to efficiently capture execution history information. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Mochizuki and Arora with a XML log file to efficiently capture execution history information (column 7, lines 1-5).

As per claim 21, Mochizuki teaches:

enumerating executables associated with each application of a plurality of applications installed on the computer and each service of a plurality of services provided by the computer that has an associated executable and for each executable, extracting information about the executable, the information including a plurality of attributes associated with the executable number (at [0038]-[0042], extract information about the

configuration of the software and hardware, a formal name of the operating system, service pack, and build number are extracted as information about the software, version number of the Internet Explorer, additional information extracted applications (executable) installed and extracted from software from listed items of additions and deletions. Information on computer executable s would be extracted from an s set of folders, and PSAPI, DLL), information about applications (executables) installed and resident applications is extracted, [0036], extracted information about the configuration of the software and/or hardware is stored in the configuration database (log file)).

Mochizuki does not explicitly teach deriving a signature for each executable from a combined set of attributes, the combined set of attributes including at least two of the attributes associated with the related executable. Arora does teach this limitation at [0077], as single file attribute such as file name or identification is transformed to a hash function and [0073], as hash one or more attributes to create a key to efficiently access data (a signature is a sequence of data for identification). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Mochizuki with deriving a signature for a combined set of attributes including from each of the executables to efficiently access data.

Mochizuki and Arora do not explicitly teach a log file in a standardized language. Anderson does teach this limitation (column 7, lines 1-4, as capturing results in an XMLlog file) to efficiently capture execution history information. It would have been

obvious to a person of ordinary skill in the art at the time of the invention to modify Mochizuki and Arora with a XML log file to efficiently capture execution history information (column 7, lines 1-5).

As per claim 5 same as claim arguments above and Mochizuki teaches: wherein extracting application program information comprises accessing an installer component of the computer ([0040], information about applications installed on the computer system is extracted from a list of additions and deletions).

As per claim 6 same as claim arguments above and Mochizuki teaches: wherein the application program information is stored in connection with the installer component ([0040], information about applications installed on the computer system is extracted from a list of additions and deletions).

As per claim 7 same as claim arguments above and Mochizuki teaches: wherein extracting the application program information comprises accessing more than one information source for the information ([0038]-[0041], information about applications installed on the computer system is extracted from a list of additions and deletions form the registry, information extracted using windows application interface, registry).

As per claim 8 same as claim arguments above and Mochizuki teaches:
further comprising choosing a best source of the more than one information, and
utilizing that best source to provide at least some of the application program
information ([0038]-[0041], information about applications
installed on the computer system is extracted from a list of additions and
deletions form the registry, information extracted using windows application
interface, registry).

As per claim 9 same as claim arguments above and Mochizuki teaches:
further comprising storing information about the sources other than the best
source with the program application information ([0036], configuration database)

Claims 25-29 are rejected under the same rationale as claims 5-9 arguments.

**Claims 2-4,22-24, are rejected under 35 U.S.C. 103(a) as being unpatentable
over Mochizuki and Arora and Anderson ("Anderson") as applied to claims
1,21 above and further in view of Wong et al (US 2003/0090531).**

As per claims 2-4 same as claim arguments above and Mochizuki and Arora and
Anderson do not explicitly teach storing ...in an XML file. Wong does teach this
limitation at parag.137 to standardize and simplify the task of transferring data file from
one type of computer system of software to another. It would have been obvious to one
of ordinary skill in the art at the time of the invention to modify Mochizuki and Arora and
Anderson with signatures ...associated with the executables to standardize and simplify

the task of transferring data file from one type of computer system of software to another at parag. 136, lines 7-8.

Claims 22-24 are rejected under the same rationale as claim 2-4 arguments.

Claims 10, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mochizuki and Arora and Anderson as applied to claims 1, 21 above and further in view of Kidder et al (US 004/0031030).

As per claims 10, 30 same as claim arguments above and Mochizuki and Arora and Anderson do not explicitly teach wherein deriving a signature comprises generating a number from the subset utilizing a cyclic redundancy check. Kidder does teach this limitation to prevent errors and potential network device crashes due to applications not being upgraded. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Mochizuki and Arora and Anderson with deriving a signature comprises generating a number from the subset utilizing a cyclic redundancy check to prevent errors and potential network device crashes due to applications not being upgraded (paragraph 458, lines 4-6).

Response to Arguments

4. Applicant's arguments filed May 9, 2008 have been fully considered but they are not persuasive.
5. Regarding claim 1, Applicant argues prior art of record does not teach "deriving a signature for each of the executables, **the signature being based on more than one of the plurality of attributes associated with the related executable** and storing the resultant signatures in the log file in the standardized language". Arora does teach this limitation at [0073], as hash one or more attributes to create a key (Examiner: a signature is a sequence of data for identification).
6. Regarding claim 21, Applicant argues prior art of record does not teach "deriving a signature for each executable from a combined set of attributes". Arora does teach this limitation at [0073], as hash one or more attributes to create a key. (Examiner: a signature is a sequence of data for identification).

Contact Information

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan F. Rayyan whose telephone number is 571-272-1675. The examiner can normally be reached on M-F, 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John R. Cottingham/
Supervisory Patent Examiner, Art
Unit 2167

Susan Rayyan
July 15, 2008